

DATE: August 20, 2004 SHEET 1 of 1

Form PTO - 1449 (Modified)

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| FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary) (37 CFR 1.98 (b)) | ATTY. DOCKET NO. | SERIAL NO. |
| | 6989.US.02 | 10/684,109 |
| | APPLICANT | |
| | Peter J. DeVries, et al. | |
| | FILING DATE | GROUP |
| | October 10, 2003 | 1644 7646 |

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | | PATENT NUMBER | | | | | | | ISSUE DATE | PATENTEE | CLASS | SUB CLASS | FILING DATE |
|---------------------|----|---------------|---|---|---|---|---|---|---------------|------------------|-------|--------------|----------------|
| X2 | A1 | 4 | 6 | 6 | 7 | 0 | 1 | 6 | 05/19/87 | Lai, et al. | | | |
| | A2 | 4 | 7 | 0 | 3 | 0 | 0 | 8 | 10/27/87 | Lin | | | |
| | A3 | 5 | 2 | 7 | 8 | 0 | 6 | 5 | 01/11/94 | D'Andrea, et al. | | | |
| ↓ | A4 | 6 | 3 | 1 | 9 | 4 | 9 | 9 | 11/20/2001 | Elliott | | | |

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

| | | DOCUMENT NUMBER | | | | | | | PUBLI- CATION DATE | COUNTRY OR PATENT OFFICE | CLASS | SUB CLASS | TRANS- LATION | |
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| X2 | B1 | 9 | 0 | 0 | 8 | 8 | 2 | 2 | 09.08.90 | WO | | | | |
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OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)

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| X2 | C1 | D'Andrea, et al., "Expression Cloning of the Murine Erythropoietin Receptor", <i>Cell</i> , 57:277-285 (1989) |
| | C2 | Erslev, A., "Erythropoietin Coming of Age", <i>The New Engl. Jour. Of Med.</i> , 316(2) 101-103 (1987) |
| | C3 | Jones, S. S., et al., "Human Erythropoietin Receptor: Cloning, Expression, and Biologic Characterization", <i>Blood</i> , 76(1):31-35 (1990) |
| | C4 | Koury, M.J. & Boundurant, M.C., "Erythropoietin Retards DNA Breakdown and Prevents Programmed Death in Erythroid Progenitor Cells", <i>Science</i> , 248:378-381 (1990) |
| | C5 | Liboi, E., et al., "Erythropoietin receptor signals both proliferation and erythroid-specific differentiation", <i>Proc. Natl. Acad. Sci. USA</i> , 90:11351-11355 (1993) |
| | C6 | Mayeux, P., et al., "Structure of the Murine Erythropoietin Receptor Complex", <i>The Jour. Of Biol. Chem.</i> , 266(34):23380-23385 (1991) |
| | C7 | McCaffery, P. J., et al., "Subunit Structure of the Erythropoietin Receptor", <i>The Jour. Of Biol. Chem.</i> , 264(18):10507-10512 (1989) |
| | C8 | Miyake, T., et al., "Purification of Human Erythropoietin", <i>The Jour. Of Biol. Chem.</i> , 252(15):5558-5564 (1977) |
| ↓ | C9 | Winkelmann, J. C., et al., "The Gene for the Human Erythropoietin Receptor: Analysis of the Coding Sequence and Assignment to Chromosome 19p", <i>Blood</i> , 76(1):24-30 (1990) |

EXAMINER



DATE CONSIDERED

1/26/07

EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

(Form PTO-1449)

DATE: September 8, 2005

SHEET 1 of 1

Form PTO - 1449 (Modified)

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| FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE (Modified) PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary) (37 CFR 1.98 (b)) | ATTY. DOCKET NO. 6989.US.02 | SERIAL NO. 10/684,109 |
| | APPLICANT(S) Peter J. DeVries, et al. | |
| | FILING DATE October 10, 2003 | GROUP 1644 1646 |

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | | PATENT NUMBER | ISSUE DATE | INVENTOR | CLASS | SUB CLASS | FILING DATE |
|---------------------|----|---------------|---------------|--------------------|-------|--------------|----------------|
| X2 | A1 | 5885574 | 03-23-1999 | Elliott, S.G. | | | |
| X2 | A2 | 6103879 | 08-15-2000 | Chaovapong, et al. | | | |
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FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

| | | DOCUMENT NUMBER | PUBLIC-ATION DATE | COUNTRY OR PATENT OFFICE | CLASS | SUB CLASS | TRANS- LATION YES NO |
|----|----|--------------------|----------------------|-----------------------------|-------|--------------|----------------------------|
| X2 | B1 | WO0061164 | 10-19-2000 | WIPO | | | |
| | B2 | WO9505469 | 02-23-1995 | WIPO | | | |
| | B3 | WO0066632 | 11-09-2000 | WIPO | | | |
| | B4 | WO9942127 | 08-26-1999 | WIPO | | | |
| | B5 | WO0061637 A1 | 10-19-2000 | WIPO | | | |
| | B6 | EP733962 | 09-25-1996 | EPO | | | |
| | B7 | EP1146056A1 | 10-17-2001 | EPO | | | |
| ✓ | B8 | WO9603438 A1 | 02-08-1996 | WIPO | | | |

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| X2 | C1 | US2003215444, Elliott, S.G., filed 11-20-2003, publication date 11-20-2003 |
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| EXAMINER <i>[Signature]</i> | DATE CONSIDERED 1/26/07 |
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(Form PTO 1449)

DATE: May 12, 2006SHEET 1 of 1

Form PTO - 1449 (Modified)

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE
(Modified) PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.

6989US02

SERIAL NO.

10/684,109

APPLICANT

Peter J. DeVries, et al.

FILING DATE

October 10, 2005

GROUP

~~1644~~ 1646INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(Use several sheets if necessary)

(37 CFR 1.98 (b))

U.S. PATENT DOCUMENTS

| EXAM. INITIAL | | | PATENT NUMBER | ISSUE DATE | PATENTEE | CLASS | SUB CLASS | FILING DATE |
|------------------|--|----|---------------|---------------|---------------|-------|--------------|----------------|
| X2 | | A1 | 6,163,190 | 11/28/2000 | Young, et al. | | | |
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FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

| EXAM. INITIAL | | DOCUMENT NUMBER | PUBLI- CATION DATE | COUNTRY OR PATENT OFFICE | CLASS | SUB CLASS | TRANSLATION | |
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